

Open Report on behalf of Executive Director Resources and Community Safety

Report to:	Audit Committee
Date:	26 September 2011
Subject:	Analysis of High Priority Recommendations Outstanding at 31 August 2011

Summary:

This reports provides an analysis of the high priority audit recommendations outstanding at 31 August 2011, and suggests how they should be managed.

Recommendation(s):

That the Committee notes the contents of the report, agrees to the suggested actions, and identifies any additional actions it requires.

Background

1. The Corporate Audit Progress Report has identified 37 outstanding recommendations, of which 11 are high priority. This report provides more detail on the status of those high priority recommendations outstanding and suggests how they should be managed.

Audit	Adult Services – Commissioning		
Date	June 2010	Assurance	Substantial
Action	Replace the overarching Adult Services Commissioning Strategy an overarching visioning document that links to relevant commissioning strategies and other key documents		
Status	No recent update, previously reported that action is to be completed when the restructure is complete.		
Suggested Action	Due to workforce change, changes in management responsibilities and the fact that Adult Services are currently subject to management scrutiny it is suggested we follow this up in Quarter 4.		

Audit	Adult Services – Contact, Referral & Assessment		
Date	February 2011	Assurance	No
Action 1	Incorrect use of Fairer Access to Care eligibility criteria by Gainsborough area office to be corrected and monitored.		
Action 2	Management to ensure area offices comply with stated reporting requirements.		
Status	We have not received an update for these actions.		

Suggested Action	Due to workforce change, changes in management responsibilities and the fact that Adult Services are currently subject to management scrutiny it is suggested we follow this up in Quarter 4.
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Audit	Adult Services – Finance & Benefits Team		
Date	January 2011	Assurance	Substantial
Action 1	FAB will attend Area Manager meetings to address common issues, provide guidance to the field workers and revise the supporting procedures/referral form to provide further clarity.		
Action 2	The planned assessment related projects will consider resourcing and process improvements. Interim measures will be taken.		
Action 3	The planned assessment related projects will consider the establishment of a robust to ensure that all people receiving relevant services are referred to FAB for assessment. Interim measures will be taken.		
Status	We have not received an update for these actions.		
Suggested Action	Due to workforce change, changes in management responsibilities and the fact that Adult Services are currently subject to management scrutiny it is suggested we follow this up in Quarter 4.		

Audit	Carbon Management Action Plan		
Date	June 2010	Assurance	Substantial
Action 1	Update the delivery plan as part of the overall review of the Carbon Management Plan. Targets are to be agreed and adopted within the plan.		
Action 2	Discuss and agree with service areas corrective actions, and incorporate within the revised Carbon Management Plan and Delivery Plan.		
Action 3	Discussions to be held with Mouchel, investigating the feasibility of producing performance information on a quarterly basis. Programme of meter reading reports will assist in providing increased frequency of energy use throughout LCC buildings		
Status	We have not received a recent update. It was previously reported that all actions were in progress.		
Suggested Action	We will attempt to get a response for the Committee meeting otherwise we suggest that the Manager be asked to provide a written response for the Audit Committee.		

Audit	Climate Change Management		
Date	April 2011	Assurance	Substantial
Action 1	Further development of the draft action plan will ensure that all actions recorded within the action plan are SMART.		
Action 2	The development of a review system and the agreement of responsibility for monitoring the progress of the actions will be incorporated as a separate objective within the action plan.		
Status	We have not received an update for these actions.		
Suggested Action	We will attempt to get a response for the Committee meeting otherwise we suggest that the Manager be asked to provide a written response for the Audit Committee.		

Conclusion

2. We have not been provided with an update on the high priority recommendations outstanding.
3. In respect of those in Adult Services this is due to workforce change and changes in management responsibilities. Given that Adult Services are currently subject to management scrutiny it is suggested we follow these up in Quarter 4.
4. In respect of those relating to Climate Change and Carbon Management we will attempt to get a response for the Committee meeting otherwise we suggest that the Manager be asked to provide a written response for the Audit Committee.

Consultation

a) Policy Proofing Actions Required

n/a

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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